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## Rotavirus Vaccines and Health Care Utilization for Diarrhea in the United States (2007–2011)

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#### **KEY WORDS**

rotavirus vaccines, United States, diarrhea, rotavirus, hospitalization

#### **ABBREVIATIONS**

- DTaP—diphtheria-tetanus-acellular pertussis vaccine NIS—National Immunization Survey
- RV1-monovalent rotavirus vaccine
- RV5-pentavalent rotavirus vaccine

Dr Leshem contributed to study conception, design, and analysis; drafted the initial manuscript; and revised the manuscript; Ms Moritz and Mr Curns contributed to study design, carried out the initial analyses, and critically reviewed and revised the manuscript; Drs Zhou, Tate, and Lopman contributed to study design and critically reviewed and revised the manuscript; Dr Parashar conceptualized and designed the study and critically reviewed and revised the manuscript; and all authors approved the final manuscript as submitted.

The findings and conclusions in this report are those of the authors and do not necessarily represent the views of the Centers for Disease Control and Prevention, US Department of Health and Human Services.

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WHAT'S KNOWN ON THIS SUBJECT: Since the introduction of rotavirus vaccines, diarrhea-associated health care utilization among US children has decreased substantially. Moreover, indirect benefits from rotavirus vaccination have been observed in unvaccinated children and in adults.

**WHAT THIS STUDY ADDS:** With increasing rotavirus vaccine coverage during 2009–2011, we observed continued reductions in diarrhea-associated health care utilization and cost. Both rotavirus vaccines conferred high protection against rotavirus hospitalizations; pentavalent rotavirus vaccine provided durable protection through the fourth year of life.

## abstract



**OBJECTIVES:** To examine reductions in diarrhea-associated health care utilization after rotavirus vaccine implementation and to assess direct and indirect effectiveness of vaccination.

**METHODS:** Retrospective cohort analysis of claims data of commercially insured US children aged <5 years. We examined annual pentavalent (RV5) and monovalent (RV1) rotavirus vaccine coverage. We compared rates of diarrhea-associated health care utilization in prevaccine (2001–2006) versus postvaccine introduction (2007–2011) years, compared rates of diarrhea-associated health care utilization in vaccinated versus unvaccinated children and compared rates in unvaccinated children in postvaccine versus prevaccine years.

**RESULTS:** Among children aged <5 years, RV5 and RV1 rotavirus vaccine coverage rates reached 58% and 5%, respectively, by December 31, 2010. Compared with the average rate of rotavirus-coded hospitalizations in 2001–2006, rates were reduced by 75% in 2007–2008, 60% in 2008–2009, 94% in 2009–2010, and 80% in 2010–2011. Compared with unvaccinated children, in 2010–2011, the rate of rotavirus-coded hospitalizations was reduced by 92% among RV5 recipients and 96% among RV1 recipients. Rotavirus-coded hospitalization rate reductions among RV5 recipients versus unvaccinated children ranged from 87% among <1-year-olds to 81% among 4-year-olds. Compared with prevaccine rates in 2001–2006, rotavirus-coded hospitalization rates among unvaccinated children decreased by 50% in 2007–2008, 77% in 2009–2010, and 25% in 2010–2011.

**CONCLUSIONS:** Implementation of rotavirus vaccines has substantially reduced diarrhea health care utilization in US children. Both rotavirus vaccines conferred high protection against rotavirus hospitalizations; RV5 conferred durable protection through the fourth year of life. Vaccination also conferred indirect benefits to unvaccinated children. *Pediatrics* 2014;134:15–23

In February 2006, the Advisory Committee on Immunization Practices recommended the routine use of pentavalent rotavirus vaccine (RV5; RotaTeg, Merck and Co, Whitehouse Station, NJ) in US infants with 3 doses administered orally at 2, 4, and 6 months of age.<sup>1</sup> In June 2008, the committee updated its recommendations to include the use of monovalent rotavirus vaccine (RV1; Rotarix, GSK Biologicals, Rixensart, Belgium).<sup>2</sup> RV1 is a 2-dose vaccine administered orally at 2 and 4 months of age. Before vaccine introduction, rotavirus was the most common cause of severe gastroenteritis in children under 5 years of age in the United States, resulting in an estimated 20 to 60 deaths, 55 000 to 70 000 hospitalizations, and nearly half a million ambulatory medical visits each year.1,3,4

Since the introduction of rotavirus vaccines, diarrhea-associated health care utilization and medical expenditures in US children have decreased substantially.5-7 Moreover, indirect benefits from rotavirus vaccination have been observed in unvaccinated children and in adults.<sup>6,7</sup> Although RV5 vaccine impact and effectiveness have been well described for the 2008-2009 rotavirus seasons, fewer data on the impact and effectiveness of vaccination in more recent rotavirus seasons are available. Furthermore, because of its later introduction, limited information is available regarding the effectiveness of RV1 in routine use in US children.

We previously evaluated direct and indirect effects of RV5 vaccination on diarrhea-associated health care utilization in US children during 2008 and 2009 using data from MarketScan insurance claims databases.<sup>5</sup> To evaluate the long-term impact of rotavirus vaccination in US children <5 years of age, we updated our analysis with data for the 2010 and 2011 rotavirus seasons. Our objectives were to evaluate (1) rotavirus vaccine coverage and correlate vaccine coverage with changes in the rates of diarrhea associated hospitalizations, emergency department, and outpatient visits in postvaccine versus prevaccine introduction years; (2) effectiveness of both RV5 and RV1 in contemporaneous use and the duration of protection from RV5 vaccination; (3) indirect benefits from rotavirus vaccination; and (4) national reduction in health care utilization for diarrhea and associated costs after implementation of the rotavirus vaccine program.

#### **METHODS**

#### Data Source and Identification of Diarrhea-Associated Health Care Events

Data from the 2001–2011 Truven Health MarketScan Commercial Claims and Encounters Database were analyzed.<sup>8</sup> MarketScan data are derived from insurance claims and contain deidentified information from various public and private health plans. Medicaid recipients are not included.

Diarrhea-associated health care events were identified with the use of the following International Classification of Diseases, Ninth Revision, Clinical Modification codes: viral enteritis, 008.6-008.8 (including rotavirus, 008.61); bacterial enteritis, 001.0-005.9 (excluding 003.2) and 008.0-008.5; parasitic intestinal disease, 006.0-007.9 (excluding 006.3-006.6); presumed infectious diarrhea, 009.0-009.3; presumed noninfectious diarrhea, 558.9; and diarrhea not otherwise specified, 787.91. In addition to all-cause diarrhea events, rotavirus-coded events (coded 008.61) were also specifically examined. An event identified as the primary discharge diagnosis or 1 of 15 other possible discharge diagnoses for the inpatient-admissions table was classified as a hospitalization. An event identified in 1 of the 2 diagnosis fields in the outpatient-services table was

classified as an outpatient visit. Events were classified as emergency department visits (ie, not hospitalizations or outpatient visits) if "urgent care facility" or "emergency room" was specified in either the inpatient-services table or the outpatient-services table. Patients evaluated  $\geq 1$  setting for the same diarrhea episode had their visit included for each setting in which they were evaluated for the single episode.

#### **RV5 and RV1 Coverage**

Using data from January 2006–June 2011, we assessed RV5 and RV1 coverage (defined as administration of at least 1 dose of RV5 or RV1) in a subgroup of children with continuous enrollment in 1 insurance plan from birth through at least 3 months of age. The criterion of continuous enrollment ensured that nearly all vaccinations administered were captured. Children from 13 states with universal vaccination programs that included rotavirus vaccine at any time during the assessment period or where rotavirus vaccine inclusion in the universal vaccination program could not be ascertained (Alaska, Idaho, Massachusetts, Maine, North Dakota, New Hampshire, New Mexico, Oregon, Rhode Island, Vermont, Washington, Wisconsin, and Wyoming) were excluded from the coverage assessment because vaccinations in these states were not likely to have been billed to third-party payers and thus would probably not be recorded in this database. According to the National Immunization Survey (NIS) in 2010, the median (range) of rotavirus vaccine coverage rates among children 19-35 months old in these 13 states was 53% (42-77) compared with 59% (44-82) in states without universal rotavirus vaccination.<sup>9</sup> Within the coverage cohort, we identified enrollees who received RV5 and RV1 by using the Current Procedural Terminology codes 90680 and 90681, respectively.

Coverage on December 31 of each study year was assessed on the basis of age group and region. To validate results, we compared coverage with at least 1 dose of diphtheria-tetanusacellular pertussis vaccine (DTaP) by 3 months of age in the MarketScan database with DTaP coverage reported by the NIS, considered the US standard for vaccine coverage.<sup>9</sup>

#### Trends in Diarrhea-Associated Health Care Utilization

We assessed trends in diarrheaassociated health care utilization rates for enrollees <5 years of age who were seen in inpatient, emergency department, and outpatient settings. Data from all states, including those with universal vaccination programs, were included in the analysis of trends. We used the number of days each child was enrolled per calendar month and year of the study as the follow-up time in calculating utilization rates per 10 000 person-years of follow-up.

We examined temporal trends of the diarrhea-associated health care utilization rate during the entire study period. Furthermore, we compared rotavirus-coded hospitalization and diarrhea-associated health care utilization rates during each of the postvaccine years 2007–2011 (July – June) with the annual mean rates during the 5-year prevaccine baseline period from July 2001–June 2006, according to age group and census region. The numbers of emergency department or outpatient visits for diarrhea with the rotavirus-specific code were too few to analyze.

## Examination of Direct Benefits of RV5 and RV1 in Contemporary Use

To examine direct vaccine benefits from RV5 and RV1, we compared rates of rotavirus-coded hospitalization and diarrhea-associated health care utilization among vaccinated versus age-

eligible, unvaccinated children. Because rates of rotavirus disease were low in the 2010 rotavirus season, we restricted our analyses to outcomes during the 2011 rotavirus season (ie, from July 2010 to June 2011). Children who were both age-eligible to receive at least 1 RV5 or RV1 dose before the 2011 rotavirus season (ie. those who were 3-23 months of age by July 1, 2010) and who were continuously enrolled in the same insurance plan from birth through June 30, 2011, were included in the analysis. Children who were either from states with universal vaccination programs or had received mixed vaccine schedules with both RV1 and RV5 doses were excluded. Rate ratios and 95% confidence intervals associated with RV1 or RV5 administration were adjusted for birth guarter by means of Poisson regression for hospitalizations and binomial regression for emergency department and outpatient visits. The adjusted estimates were subtracted from 1 to obtain adjusted rate reductions.

#### **Duration of RV5 Protection**

To assess the duration of protection provided by RV5, we compared rates of rotavirus-coded hospitalizations among vaccinated versus unvaccinated children, by age group (3–11 months, 12–23 months, 24-35 months, 36-47 months, and 48-59 months). We included all children who were age-eligible to receive RV5 (ie, age less than the first dose upper limit of 14 weeks and 6 days when RV5 was licensed on February 3, 2006) and who were continuously enrolled in their insurance plan for the entire time period captured within each age group. Rate ratios and 95% confidence intervals associated with RV5 administration were adjusted for birth quarter by means of Poisson regression; the adjusted estimates were subtracted from 1 to obtain adjusted rate reductions.

#### Indirect Benefits From Rotavirus Vaccination

To examine indirect benefits of the vaccine (ie, protection of unvaccinated persons because vaccinated persons did not contract and transmit infection), we compared rates of rotavirus-coded hospitalization and diarrhea-associated health care utilization among ageeligible, unvaccinated children <2 years of age from July to June in each study year with prevaccine rates. Rates were standardized by birth quarter to allow comparison across age groups. The relative rate reductions and 95% confidence intervals were calculated with the use of standardized morbidity ratios, with prevaccine rates as the baseline data.<sup>10</sup>

#### **Estimation of National Reductions in Hospitalization Rates and Costs**

By extrapolating observed diarrheaassociated rates of hospitalizations, emergency department and outpatient visits in children <5 years of age from July 2007 through June 2011 to the 2009 US population, we estimated the national burden of diarrhea-associated health care utilization after the introduction of the rotavirus vaccines. We then determined the median total payments for diarrhea-associated hospitalizations and converted them to 2009 constant dollars on the basis of the Bureau of Labor Statistics Consumer Price Index for medical care.<sup>11</sup> For both the US population census and the Consumer Price Index constant dollars, estimates were based on data from 2009 as that represents the midpoint of our study period (ie, 2007–2011). Median payments were multiplied by the number of diarrhea-associated events to estimate national payments. Baseline costs were derived according to prevaccine rates from 2001 through 2006. The estimated reduction in diarrhea-associated health care utilization costs was calculated as the difference between national

payments before and after the introduction of the rotavirus vaccines.

#### **RESULTS**

#### **Rotavirus Vaccine Coverage**

In a cohort of >406 000 children <5years of age from 37 states, 58% had received at least 1 dose of RV5, and 5% had received at least 1 dose of RV1 by December 31, 2010 (Table 1). Following a rapid uptake of rotavirus vaccine after RV5 licensure, the proportion of rotavirus vaccine (either RV5 or RV1) recipients in children <1 year old continued to increase from 64% on December 31, 2007, to 78% on December 31, 2010. In the same cohort, the proportion of children who had received at least 1 DTaP dose by 3 months of age was 89%, compared with 88% according to the NIS.<sup>9</sup>

#### Trends in Diarrhea-Associated Health Care Utilization

During 2001-2011, a total of 53 964 hospitalizations, 242 818 emergency department visits, and 1846488 outpatient visits associated with diarrhea were recorded among children <5 years of age. No secular trend in diarrheaassociated health care utilization was seen in prevaccine years from 2001 through 2006 (Fig 1). In each of the prevaccine years, monthly diarrheaassociated health care utilization rates peaked in February-March in all settings, similar to the seasonal pattern of rotavirus-coded hospitalizations. During each postvaccine year from 2007 through 2011, this seasonal peak was blunted compared with prevaccine years. Seasonal peaks during the 2008-2009 and 2010-2011 seasons were somewhat higher compared with seasonal peaks during the 2007-2008 and 2009-2010 seasons (Fig 1, Table 1, and Supplementary Tables 6 and 7).

Overall, reductions in rotavirus-coded hospitalization rates correlated with vaccine coverage across age groups, but the magnitude of reductions tended to exceed the vaccine coverage in each age group and year (Table 1). In particular, during the 2007-2008 and 2009-2010 rotavirus seasons, substantial reductions in rotavirus-coded hospitalization rates were seen in older age groups with lower vaccine coverage. In 2009-2010, the rotavirus season that had the greatest reduction of any of the 4 postvaccine seasons, annual rates of rotavirus-coded hospitalizations among children <5 years of age declined by 94% compared with prevaccine rates, from 14 hospitalizations per 10 000 person-years during 2001-2006 to 1 per 10 000 person-years in 2009-2010.

Among children <5 years of age, the annual rates of diarrhea-associated hospitalization, emergency department visits, and outpatient visits were significantly lower during each of the 4 postvaccine seasons from 2007 through 2011, except for rates of emergency department and outpatient visits during the 2008–2009 season (Table 1). The most substantial rate reductions were noted among 1-year-olds. In 2009-2010, which had the greatest reductions of all the postvaccine years, annual rates of diarrhea-associated hospitalizations, emergency department visits, and outpatient visits declined by 54%, 31%, and 20%, respectively, compared with 2001-2006 (Table 1). During the peak rotavirus months from January through June, declines in rates of diarrhea-associated health care utilization exceeded annual declines in all age groups and in each postvaccine year, consistent with an impact of vaccination (Supplementary Table 6). Declines in diarrhea-associated hospitalization rates were seen in each geographic region during each of the 4 postvaccine years (Supplementary Table 7); rates of diarrhea-associated emergency department and outpatient visits declined significantly in all regions during 2009-2011.

## Examination of Direct Benefits of RV5 and RV1 in Contemporary Use

During 2010-2011, children who received RV5 and those who received RV1 had 92% and 96% fewer rotavirus-coded hospitalizations, respectively, compared with age-eligible unvaccinated children (test of interaction, P = .48; Table 2). Rate reduction in diarrhea-associated hospitalizations was 55% for children who received RV5 and 53% for those who received RV1. Diarrhea-associated hospitalization rate reductions were similar across regions, except for children who received RV1 in the West, where no effect was apparent and the number of children receiving RV1 was low, resulting in high variability for that estimate. Diarrhea-associated emergency department visit rates were reduced by 31% in RV5 recipients and by 33% in RV1 recipients, compared with unvaccinated children (Table 2). Rates of outpatient visits for diarrhea among vaccinated and unvaccinated children were similar.

#### **Duration of RV5 Protection**

Among those vaccinated with RV5 compared with age-eligible unvaccinated children, rotavirus-coded hospitalization rates were 87% lower among children 3 to 11 months of age, 87% lower among those 12 to 23 months of age, 86% among those 24 to 35 months of age, and 81% lower among those 48 to 59 months of age (Table 3). Because of low rates of rotavirus-coded hospitalization rates among vaccinated children 36 to 47 months of age, rate reductions could not be assessed for this age group.

#### Indirect Benefits From Rotavirus Vaccination

Overall, reductions of 50%, 77%, and 25% in rates of rotavirus-coded hospitalizations were observed among ageeligible, unvaccinated children during the years 2007–2008, 2009–2010, and 2010–2011, respectively, compared with

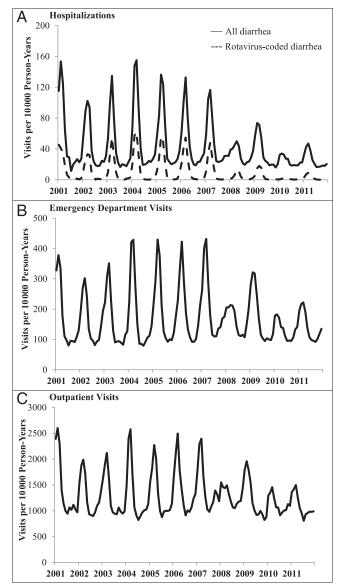
are Utilization Among Children <5 Years of Age Before and After Rotavirus-Vaccine Introduction, According to Age Group and	
TABLE 1 Annual Rates of Rotavirus-Coded and Diarrhea-Associated Health Care Utilize	Health Care Setting

Age Group	Rotavirus-Coded Hospitalizations	Hospitalizations	Diarrhea-Associated Hospitalizations	d Hospitalizations	Diarrhea-Assoc	Diarrhea-Associated ED Visits	Diarrhea-Associate	Diarrhea-Associated Outpatient Visits	Cove	Coverage
	Rate, <i>n</i> /10 000 PY	Rate Reduction (95% CI), %	Rate, <i>n</i> /10 000 PY	Rate Reduction (95% CI), %	Rate, <i>n</i> /10 000 PY	Rate Reduction (95% CI),%	Rate, <i>n</i> /10 000 PY	Rate Reduction (95% CI),%	RV5 <sup>a</sup> ,%	RV1 <sup>b</sup> , %
<1 y										
$2001 - 06^{\circ}$	16	ref	65	ref	212	ref	1713	ref	NA	NA
2007-2008	3	81 (77 to 84)	50	24 (20 to 27)	204	4 (1 to 6)	1608	6 (5 to 7)	64	NA
2008-2009	4	78 (74 to 81)	45	30 (27 to 34)	185	13 (11 to 15)	1499	13 (12 to 13)	73	NA
2009-2010		95 (93 to 96)	34	47 (45 to 50)	131	38 (37 to 40)	1196	30 (30 to 31)	64	12
2010-2011	2	88 (85 to 90)	36	45 (43 to 48)	140	34 (32 to 36)	1211	29 (29 to 30)	68	10
y										
$2001 - 06^{\circ}$	33	ref	96	ref	324	ref	2376	ref	NA	NA
2007-2008	6	72 (69 to 76)	56	41 (38 to 44)	282	13 (11 to 15)	2264	5 (4 to 5)	23	NA
2008-2009	6	74 (71 to 77)	60	38 (35 to 41)	298	8 (6 to 10)	2355	1 (0 to 2)	64	NA
2009-2010		96 (95 to 97)	35	64 (62 to 66)	197	39 (37 to 41)	1825	23 (23 to 24)	72	-
2010-2011	4	87 (85 to 89)	39	59 (57 to 61)	220	32 (30 to 34)	1933	19 (18 to 19)	64	12
2—4 y										
$2001 - 2006^{\circ}$	8	ref	32	ref	130	ref	871	ref	NA	NA
2007-2008	2	72 (67 to 76)	21	34 (31 to 37)	119	9 (7 to 11)	871	0 (-1 to 1)	0	NA
2008-2009	9	26 (19 to 32)	29	9 (5 to 13)	155	-19 (-21 to -17)	984	-13 (-14 to -12)	80	NA
2009-2010	-	89 (87 to 91)	17	49 (46 to 51)	105	19 (18 to 21)	794	9 (8 to 9)	29	0
2010-2011	3	63 (59 to 67)	20	37 (35 to 40)	124	5 (3 to 7)	865	1 (0 to 1)	53	0
<5 y										
$2001 - 2006^{\circ}$	14	ref	52	ref	185	ref	1348	ref	NA	NA
2007-2008	4	75 (72 to 77)	35	33 (31 to 35)	169	9 (7 to 10)	1303	3 (3 to 4)	17	NA
2008-2009	9	60 (58 to 63)	39	25 (23 to 27)	188	-2 (-3 to 0)	1360	-1 (-1 to 0)	32	NA
2009-2010		94 (93 to 95)	24	54 (52 to 55)	128	31 (30 to 32)	1078	20 (20 to 20)	45	2
2010-2011	3	80 (78 to 81)	27	47 (46 to 49)	145	22 (21 to 23)	1139	16 (15 to 16)	58	5

<sup>e</sup> Coverage was defined as receipt of at least 1 dose of RV5 by December 3, 2007, December 31, 2008; December 31, 2009; or December 31, 2010, in children who had been in the database since birth and for at least 3 mo continuously. Coverage for children

<1 y of age was restricted to those who were eligible for vaccination (ie, aged those 3–11 mo).</p>
<sup>b</sup> Coverage was defined as receipt of at least 1 does of RV1 by December 31, 2008, or becember 31, 2010, in children with the same criteria as defined for RV5 coverage. Because RV1 was not introduced until April 2008, coverage of RV1 begins with the first

full season starting in 2009.  $^{\circ}$  For 2001–2006, the average annual rate for the time period is shown.



#### **FIGURE 1**

Diarrhea-associated health care utilization rates among children <5 years of age, 2001–2011.

prevaccine rates (Table 4). Reductions were not seen during the 2008–2009 season. Reductions in emergency department and outpatient visits were also observed among unvaccinated children, mostly during 2009–2011 (Supplementary Table 8)

#### Estimated National Reduction in Health Care Utilization and Associated Costs

We estimated that nationally, 176 587 hospitalizations, 242 335 emergency department visits and 1 116 869 outpatient

visits for diarrhea were averted among children <5 years of age during July 1, 2007–June 30, 2011. By applying this reduction to the median payment for a diarrhea-associated hospitalization in the MarketScan database in 2009, we estimated that health care utilization costs were reduced by \$924 million for the 4-year period (Table 5).

#### DISCUSSION

We observed declines in rates of diarrheaassociated hospitalizations, emergency department visits, and outpatient visits in US children <5 years of age over four successive rotavirus seasons following implementation of rotavirus vaccination, with greater declines in later years when greater vaccine coverage had been achieved and during months of the year with peak rotavirus activity. Both RV5 and RV1 were highly effective in preventing rotavirus-coded hospitalizations and also prevented diarrhea-associated hospitalizations and emergency department visits; both vaccines conferred similar protection. The protection from RV5 vaccination against rotavirus-coded hospitalizations was sustained at a high level through four years of life, with no indication of waning immunity. The reduction in rotavirus-coded hospitalization rates among unvaccinated children in postvaccine years compared with prevaccine years indicates indirect benefits from vaccination; the magnitude of indirect benefit varied over the study years. Overall, during 2007-2011, we estimated that rotavirus vaccination reduced diarrhea health care utilization by  $\sim 1.5$  million visits and associated costs by \$924 million in the United States.

Reductions in rotavirus and all-cause diarrhea-associated hospitalizations in US children during the 2007-2008 and 2008-2009 rotavirus seasons have been well documented.5-7,12-14 Our results demonstrate that these declines were sustained and were greater in the subsequent rotavirus seasons in 2009-2010 and 2010-2011. Furthermore, a biennial pattern in the incidence of severe rotavirus disease emerged in postvaccine years, with low incidence in 2007-2008 and 2009-2010 and greater incidence in adjacent years. In addition, we documented significant declines in the 2009-2010 and 2010-2011 rotavirus seasons in rates of emergency department and outpatient visits for diarrhea; these ambulatory outcomes have not been well evaluated

 
 TABLE 2
 Rates and Rate Reductions of Diarrhea-Associated Health Care Utilization Among Children Who Received at Least 1 Dose of RV5 or RV1 Versus Unvaccinated Children by Region During July 1, 2010–June 30, 2011<sup>a</sup>

Region	Health (	Care Util 10 00	ization Rate, <i>n</i> / 0 PY	Rate Reduction (95% CI) <sup>b</sup> , %		
	RV5	RV1	Unvaccinated	RV5	RV1	
Rotavirus-coded hospitalizations						
All	1	0.4	18	92 (87 to 96)	96 (74 to 100)	
Northeast	0	0	8	NA	NA	
Midwest	1	2	27	96 (87 to 98)	90 (24 to 99)	
South	2	0	17	88 (75 to 94)	NA	
West	0.3	0	13	96 (65 to 99)	NA	
Diarrhea-associated hospitalizations						
All	27	30	62	55 (46 to 62)	53 (36 to 66)	
Northeast	26	20	62	56 (32 to 71)	61 (11 to 82)	
Midwest	23	27	68	64 (52 to 74)	56 (16 to 77)	
South	31	26	62	51 (36 to 62)	60 (35 to 76)	
West	25	75	45	41 (3 to 64)	-22 (-168 to 45)	
Diarrhea-associated ED visits						
All	159	155	231	31 (25 to 36)	33 (22 to 42)	
Northeast	152	120	225	30 (15 to 43)	51 (27 to 67)	
Midwest	145	170	224	35 (25 to 43)	12 (–13 to 32)	
South	166	171	244	32 (24 to 40)	34 (19 to 46)	
West	166	87	211	17 (-3 to 34)	53 (19 to 73)	
Diarrhea-associated outpatient visits						
All	1416	1396	1402	-2 (-5 to 1)	-0.1 (-5 to 4)	
Northeast	1347	1242	1445	6 (-1 to 12)	13 (2 to 23)	
Midwest	1232	1284	1182	−7 (−13 to −1)	-10 (-21 to 0.4)	
South	1556	1500	1566	0.5 (-4 to 5)	4 (3 to 10)	
West	1392	1369	1363	-3 (-12 to 4)	-0.2 (-16 to 14)	

Cl, confidence interval; ED, emergency department; NA, not applicable; PY, person-year.

<sup>a</sup> Children who were aged 3 to 23 mo at the start of the study period, July 1, 2010, and were continuously enrolled in their insurance plan from birth through the end of the study period, June 30, 2011. Vaccination status was determined by the presence or absence of a current procedural terminology code for receipt of at least 1 dose of RV5 or RV1 (eg, children who received at least 1 vaccine dose were considered vaccinated). Rates were adjusted for quarter of birth. Children who were either from states with universal vaccination programs or who had received mixed vaccine schedules with both RV1 and RV5 doses were excluded.

<sup>b</sup> Rotavirus-coded diarrhea hospitalization rate reductions for among RV5 recipients in the Northeast and RV1 recipients in the Northeast, South, and West were not reported owing to small numbers of events.

TABLE 3	Duration of Protection and Reduction in Rates of Rotavirus-Coded Hospitalization Among
	Children Who Received at Least 1 Dose of RV5 Versus Unvaccinated Children, According
	to Age <sup>a</sup>

Age (mo) <sup>b</sup>		Rotavirus-Code	ed Diarrhea
		Rate, <i>n</i> /10 000 Y	Rate Reduction (95% CI), %
	Vax +	Vax -	
3–11	1	8	87 (85–90)
12–23	1	19	87 (84–89)
24–35	2	11	86 (83–89)
36-47	0	5	NA
48–59	1	5	81 (75–86)

NA, not applicable; PY, person-year; Vax +, vaccinated; Vax –, unvaccinated.

<sup>a</sup> Children who were age-eligible for the RV5 vaccine as of February 3, 2006, when RV5 was first recommended (ie, age less than the first-dose upper limit of 14 wk and 6 d when RV5 was licensed on February 3, 2006) and who were continuously enrolled in their insurance plan for at least 3 mo). Vaccination status was determined by the presence or absence of a current procedural terminology code for receipt of at least 1 dose of RV5. Children who were either from states with universal vaccination programs or had received mixed vaccine schedules with both RV1 and RV5 doses were excluded. <sup>b</sup> Age at hospitalization for a diarrhea-associated event.

in postlicensure US evaluations and were not significantly reduced in our previous evaluation of MarketScan data for 2007-2008 and 2008-2009 rotavirus seasons.<sup>5</sup> Both increasing vaccine coverage and indirect protection among older and unvaccinated children may have contributed to the declines in emergency department and outpatient visits observed during 2009-2011.9 By the end of 2010, rotavirus vaccine coverage had reached 63% of children <5 years of age and 78% in children <1 years of age; however, rotavirus vaccination rates were still  $\sim 10\%$  lower than DTaP vaccination rates in this age group.9

Our study has some limitations. First, information on ethnic group, race, and socioeconomic status is not included in MarketScan data and uninsured and Medicaid populations are not represented; these gaps may affect generalizability of our findings to some extent. Specifically, our comparison of MarketScan coverage results with NIS coverage estimates among publicly insured or uninsured population should be interpreted with caution. Second, rotavirus testing and coding are not consistently performed across all health care settings; thus, we were not able to examine the effect of vaccination specifically against rotaviruscoded emergency department and outpatient visits. Third, although we adjusted for age-related variation in the risk of rotavirus disease and changes in vaccine coverage over time by controlling for quarter of birth, we may have not accounted for all potential confounders. Finally, although we estimated reduction in health care costs from diarrhea following vaccine implementation, a full analysis of the costeffectiveness of vaccination requires consideration of other factors, particularly the cost of the vaccine program and indirect cost savings from prevention of lost productivity.

TABLE 4 Rotavirus-Coded Hospitalization Rates and Rate Reductions by Season, Among Unvaccinated Children After RV5 or RV1 Introduction<sup>a</sup>

Study Period		Hospitaliza	ition Rate, <i>n</i> /	10 000 PY			R	ate Reduction (95% C	II), %	
	All	Northeast	Midwest	South	West	All	Northeast	Midwest	South	West
2001–2006 <sup>b</sup>	24	16	25	31	13	ref	ref	ref	ref	ref
2007-2008	12	4	12	11	19	50 (36 to 62)	76 (–13 to 95)	52 (24 to 70)	66 (50 to 78)	-53 (-166 to 12)
2008-2009	23	12	29	25	7	2 (-17 to 18)	24 (-86 to 69)	—16 (-52 to 12)	19 (–4 to 37)	41 (-40 to 76)
2009-2010	5	7	3	7	5	77 (65 to 85)	57 (-43 to 87)	89 (69 to 96)	76 (58 to 86)	59 (–33 to 87)
2010-2011	18	8	27	17	13	25 (4 to 41)	50 (–23 to 79)	7 (52 to 25)	46 (20 to 64)	1 (–120 to 55)

Cl, confidence interval; PY, person-year; ref, reference group.

<sup>a</sup> Data for each postvaccine study period were averaged among unvaccinated children who were age-eligible for the vaccine: those aged 3–17 mo by July 1, 2007, and those aged 3–23 mo by July 1, 2008; July 1, 2009; and July 1, 2010. Age groups were standardized by birth quarter. Rate reductions for diarrhea-associated emergency department and outpatient visits are provided in SupplementaryInformation Table 8. Children who were from states with universal vaccination programs were excluded.

<sup>b</sup> Average annual hospitalization rate for 2001–2006 among children under 2 y of age during the July–June study period.

 TABLE 5
 Estimated Reductions in the Number and Cost of Diarrhea-Associated Health Care Utilization Among Children <5 Years of Age, After the Introduction of Rotavirus Vaccine in the United States, 2007–2011<sup>a</sup>

Year	No. of Hospitalizations	Reduction	No. of ED Visits	Reduction	No. of Outpatient Visits	Reduction
No. of events						
2001–2006	110 688	ref	394 619	ref	2 871 726	ref
2007–2008	73 778	36 890	360 218	34 401	2 775 196	96 530
2008–2009	82 703	27 965	401 237	NA <sup>b</sup>	2 896 199	NA <sup>b</sup>
2009–2010	51 410	59 258	272 768	121 851	2 296 955	574 771
2010-2011	58 195	52 473	308 536	86 083	2 426 159	445 568
Total 2007–2011	NA	176 587	NA	242 335	NA	1 116 869
Cost (\$)						
2001–2006 (annual average)	473 770 195	ref	137 327 317	ref	212 507 733	
2007–2008	315 842 541	157 927 653	125 355 743	11 971 574	205 364 510	7 143 223
2008–2009	354 051 300	119 718 894	139 630 312	NA <sup>b</sup>	214 318 757	NA <sup>b</sup>
2009–2010	220 086 757	253 683 438	94 923 144	42 404 173	169 974 663	42 533 070
2010-2011	249 132 453	224 637 742	107 370 586	29 956 731	179 535 738	32 971 995
Total 2007–2011	NA	755 967 727	NA	84 332 478	NA	82 648 288

ED, emergency department; NA, not applicable; ref, reference group.

<sup>a</sup> Numbers of hospital care utilization visits were derived by applying average rates of hospitalizations, emergency department visits, and outpatient visits for July 2001–June 2006, July 2007–June 2008, July 2008–June 2009, July 2009–June 2010, and July 2010–June 2011 to the 2009 US Census population estimate for children under 5 y of age. The 2009 estimates were selected as are the midpoints between years 2007–2011. Treatment costs were calculated by multiplying the numbers of health care utilization visits by the inflation-adjusted median payment per visit during the July 2008–June 2009 period (\$4281, \$348, and \$74 for hospitalizations, ED visits, and outpatient visits, respectively).

<sup>b</sup> During 2008–2009 season there was an increase of 6618 emergency department visits and 24 473 outpatient visits with an estimated cost of \$2 302 995 and \$1 811 025, respectively. These increases were not included in the calculated totals.

#### **CONCLUSIONS**

In summary, our findings demonstrate the substantial and sustained decline in diarrhea-associated health care utilization and related costs in US children after rotavirus vaccine implementation. Both rotavirus vaccines showed comparable effectiveness and long-term protection was documented for RV5. Indirect benefits from vaccination were observed and have amplified the overall impact of the vaccination program.

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**IRONMAN TECHNOLOGY FOR ROOKIES:** Several years ago, I began to cycle. I have a cyclocross bike so I can go over any dirt, gravel, or paved Vermont road. As I have been a swimmer since my early youth I have often contemplated competing in a triathlon. The key problems, though, are that I am a very slow runner and do not enjoy running. However, as reported in The Wall Street Journal (Tech: March 7, 2014), I might be able to solve my problem with technology.

It turns out that the use of high-tech gear, sophisticated monitoring tools, and cutting edge testing devices can markedly improve performance and turn first timers into serious competitors. Triathlons are of different lengths and include a swim, bike ride, and run. The longest of the triathlons, the Ironman, consists of a 2.4 mile swim, 112 mile bike ride, and 26.2 mile run. Remarkably, of the more than 185,000 participants in Ironman races last year, approximately half had never competed before and the average age was approximately 40.

The use of carbon-fiber bikes, highly buoyant wetsuits, and quick-to-slip-on shoes help shave precious minutes off competitor's times. While training, serious competitors attach a power meter to the bike to measure how forcefully each leg pedals. If one leg pedals more forcefully, the competitor can concentrate exercise on the weaker leg. Heart rate monitors are used to measure the heart rate and determine optimal training intensity. GPS devices measure the exact distance run. Athletes undergo metabolic testing that helps them learn the optimal heart rate for training and the threshold for fatigue. Other types of metabolic testing help athletes not only calculate how many calories they need to consume but when they will switch from burning carbohydrates to fats. For the "older" competitors compression boots are often essential. These mechanized inflatable devices cover and stimulate tired muscles and can drastically reduce recovery time.

Of course, all this technology comes at a considerable cost. A fully outfitted top end carbon bike may cost \$20,000 while compression boots cost \$1,500. As for me, I am not sure I need or want that kind of technologic support. Maybe this summer I will enter a shorter distance triathlon without any special gear or metabolic testing. Stay tuned.

Noted by WVR, MD

## Rotavirus Vaccines and Health Care Utilization for Diarrhea in the United States (2007–2011)

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